

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COMPLETION OF FILING NATIONAL PHASE OF PCT APPLICATIONUNDER RULE 35 USC 371 AND 37 CFR 1.494(C) OR 1.495(C)

COMPLETION
For PCT Cases Only

BOX PCTIn re PATENT APPLICATION

Inventor(s): HEERY et al.

Appln. No.: 09 | 423,037

Series Code ↑ Serial No. ↑

Atty. Dkt. PM 264015

M#

PHM.70228/UST

Client Ref

National Phase Field

Based on PCT | GB98 | 01238

↑ Country Code & Year

Title: INHIBITORS OF NUCLEAR PROTEIN/NUCLEAR RECEPTOR
INTERACTIONDate: February 22, 2000
Feb. 21 = HolidayFILING OF ITEM(S) LATE IN PCT/USA NATIONAL CASEAsst. Commissioner of Patents
Washington, DC 20231

Sir:

The following completes the filing of the subject application under Rule 494(c)/495(c). Please accept the following attached items:

1. Missing Requirements Notice (PCT/DO/EO/905) copy attached not yet received
2. **Signed Declaration** Original Facsimile/Copy with spec/claims attached
3. **Translation** of the International Application into English including:
 - a. Request;
 - b. Abstract
 - c. ___ pgs. Spec. and Claims; Translation verification
 - e. ___ sheets Drawing which are: informal formal of size A4 11"
4. Copy of **International Search Report** (ISR) attached. (02/22/2000 page(s))
 - a. plus Annex of family members (02/22/2000 page(s)) 0000006 09423037
5. **Information Disclosure Statement** including
 - a. From PTO-1449 listing documents
 - b. Copies of document(s) listed on Form PTO-1449
 - c. A concise explanation of ISR references is given in the ISR
6. **Assignment** and cover sheet. Please return the recorded assignment to the undersigned.
7. Copy of Power to international application agent.
8. ___ (No.) Small Entity Statement(s) establishing "small entity" status under Rules 9 & 27.
9. Formal Drawings: ___ sheet(s) informal; formal of size: A4 11"
10. Please immediately start national examination procedures (35 USC 371(f))

11. Attached:

12. Preliminary Amendment:

13. Basic U.S. National fee per Rule 492(a)(1)-(4) was previously timely filed.:

14. **Calculation of remaining fees due (if any):** based on amended claim(s) per above item

12 (above) or item(s) in PAT-112 (filed previously) 12 14 17 25

- 15. CLAIMS FEES** previously paid paid herewith as follows:

- 15A. Small Entity Statement Herewith Previously Filed

				Large/Small Entity		Fee Code
16. Total Effective Claims	23	minus 20 =	3	x \$18/\$9	+54	966/967
17. Independent Claims	1	minus 3 =	0	x \$78/\$39	+0	964/965
18. If <u>any</u> proper multiple dependent claim (ignore improper) is present,				\$260/\$130	+260	968/969
19. Filing Declaration late, fee paid <input type="checkbox"/> previously <input checked="" type="checkbox"/> now				\$130/\$65	+130	154/254
20.				SUBTOTAL	\$444	
21. Original due date:	February 21, 2000					
22. Petition is hereby made to extend the <u>original</u> due date to cover the date this response is filed for which the requisite fee is attached	(1 mo) (2mos) (3mos) (4mos)	\$110/\$55 = \$380/\$190 = \$870/\$435 = \$1360/\$680 =	+0		115/215 116/216 117/217 118/218	
23. If "non-English" box 3 is X'd, add Rule 17(k) processing fee		\$130	+0		139	
24. If "assignment" box 6 is X'd, add recording fee.		\$40	+40		581	
25.		TOTAL FEE ENCLOSED =			\$484	

(Our Deposit Account No. 03-3975)
(Our Order No. 9901) 264015
C#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Madison & Sutro LLP
Intellectual Property Group**

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DJB/mk**

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NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments

09/423056



U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: ASSISTANT COMMISSIONER FOR PATENTS
Box PCT
Washington, D.C. 20231
09/08/98

U.S. APPLICATION NO. 09/423,057	FIRST NAMED APPLICANT HEERY	ATTY. DOCKET NO PM 264015
PILLSBURY MADISON & SUTRO 1100 NEW YORK AVENUE NW NINTH FLOOR EAST AVENUE WASHINGTON DC 20005-3918		INTERNATIONAL APPLICATION NO PCT/GB98/01238
5071 DUE C FEB 21 2000		I.A. FILING DATE 04/28/98
		PRIORITY DATE 04/30/97
		DATE MAILED 01/21/00



NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as:

- A Designated Office (37 CFR 1.494).
- An Elected Office (37 CFR 1.495):

- U.S. Basic National Fee.
- Copy of the international application in:
 - a non-English language.
 - English.

- Translation of the international application into English.
- Oath or Declaration of inventors(s) for DO/EO/US.

- Copy of Article 19 amendments.

- Translation of Article 19 amendments into English.

- The International Preliminary Examination Report in English and its Annexes, if any.

- Translation of Annexes to the International Preliminary Examination Report into English.

- Preliminary amendment(s) filed _____ and _____

- Information Disclosure Statement(s) filed 29 OCT 97 and _____

- Assignment document.

- Power of Attorney and/or Change of Address.

- Substitute specification filed _____

- Statement Claiming Small Entity Status.

- Priority Document.

- Copy of the International Search Report and copies of the references cited therein.

- Other:

2. The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.

- The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.

- b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).

- c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

- The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.

- d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ _____ as a large entity small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY 21 OR 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes MUST be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice MUST be returned with this response.

Enclosed: PCT/DO/EO/917 Notice of Defective Translation
 PTO-875

FORM PCT/DO/EO/905 (December 1997)

Lamont Hunter
National Stage Processing

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